

CUSTOMER NO.: 24498  
Serial No.: 09/837,288

PATENT  
PU010067

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant : Louis Robert Litwin Jr. et al.  
Serial No. : 09/837,288  
Filed : April 18, 2001  
For : METHOD FOR PROVIDING SECURITY ON A POWERLINE-MODEM NETWORK  
Examiner : Thanhnga Truong  
Art Unit : 2135

2135  
J/P

INFORMATION DISCLOSURE STATEMENT

- 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

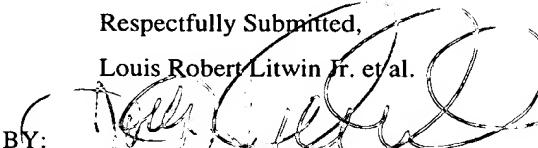
[XX] 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- 8 The required certification made in item 11 below; or
- 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- 10 37 CFR§ 1.97(d): [before issue fee payment]; and
  - (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and
  - (b) The required Certification is stated in item 11 below.

11 Certification

- 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,  
Louis Robert Litwin Jr. et al.  
BY:   
Joseph J. Kolodka, Attorney  
Registration No. 39,731  
(609) 734-6816

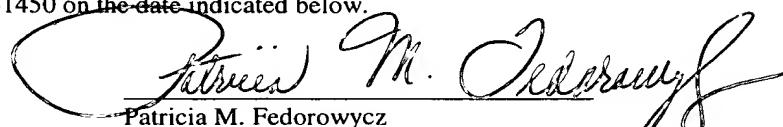
THOMSON Licensing Inc.  
Patent Operations  
P.O. Box 5312  
Princeton, New Jersey 08543-5312

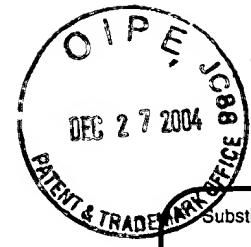
December 22, 2004

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

December 22, 2004  
Date

  
Patricia M. Fedorowycz



CUSTOMER NO.: 24498

PTO/SB/08b (08-03)

Approved for use through 07/31/2006, OMB 0651-0031

Approved for use through 07/01/2008. GMB 0831-0831  
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Substitute for form 1449B/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet

of 1

***Complete if Known***

<i>Application Number</i>	09/837,288
<i>Filing Date</i>	April, 18, 2001
<i>First Named Inventor</i>	Louis Robert Litwin Jr. et al.
<i>Art Unit</i>	2135
<i>Examiner Name</i>	Thanhnga Truong
<i>Attorney Docket Number</i>	PU010067

#### NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*